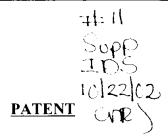
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| Please type a plus sig  | gn (+) inside this box    | <b>→</b> [+]  | Approve  | d for use thro          | PTO/SB/21 (08-00)<br>ugh 10/31/2002. OMB 0651-0031          |  |
|---|---------------------------|---|--|-------------------------|---|--|
| inder the Paperwork F   | Reduction Act of 1995, no | persons are re  | U.S. Patent and Trademark<br>equired to respond to a collection of infor |                         | DEPARTMENT OF COMMERCE displays a valid OMB control number. |  |
| TRANSMITTAL FORM  (to be used for all correspondence after initial filing)  |                           |   | Application Number   | 09/921,423              |   |  |
|   |                           |   | Filing Date  | August 2, 2001          |   |  |
|   |                           |   | First Named Inventor   | Randhir f               | Randhir P.S. Thakur   |  |
|   |                           |   | Group Art Unit   | 2826                    |   |  |
|   |                           |   | Examiner Name  | Johannes P. Mondt       |   |  |
|   |                           |   | Attorney Docket Number   | 3978.1US (95-0064.1)    |   |  |
|   |                           | ENCLO   | DSURES (check all that apply)  | <del>.</del>            |   |  |
| Postcard receipt acknowledgment (attached to the front of this transmittal)   |                           | ☐ Information Disclosure Statement,<br>PTO/SB/08A (08-00); ☐ copy of<br>cited references  |  | Terminal Disclaimer     |   |  |
| Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16                 |                           | Supplemental Information Disclosure Statement; Form PTO-1449; and copy of cited references  |  | ☐ Termir                | nal Disclaimer  |  |
| Preliminary Amen  | . I <u> </u>              |   | ate Power of Attorney  | Termir                  | nal Disclaimer  |  |
| Response to Restriction Requirement/Election of Species Requirement dated   |                           | Petition for Extension of Time and Check No. in the amount of \$  |  |                         |   |  |
| Amendment in response to office action dated  |                           | Petition  |  |                         |   |  |
| Amendment under 37 C.F.R. § 1.116 in response to final office action dated  |                           | Fee Transmittal Form  |  |                         | Enclosure(s) identify below):                               |  |
| Additional claims fee - Check No. in the amount of \$   |                           | ☐ Certified Copy of Priority Document(s) ☐ Assignment Papers (for an Application)   |  |                         |   |  |
| Letter to Chief Draftsman and copy of FIGS. with changes made in red  |                           |   |  |                         |   |  |
| Transmittal of Formal Drawings  |                           | Rema  | rks  |                         |   |  |
| Formal Drawings (sheets) su   |                           | The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application. |  |                         |   |  |
|   | SIGNA                     | TURE OF   | APPLICANT, ATTORNEY, O   | R AGENT                 |   |  |
| Firm o <i>r</i><br>Individual name  | James R. Duzan            |   |  | Registration No. 28,393 |   |  |
| Signature James R. Suspr  |                           |   |  |                         |   |  |
| Date October 9, 2002  |                           |   |  |                         |   |  |
| CERTIFICATE OF MAILING  |                           |   |  |                         |   |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope |                           |   |  |                         |   |  |
| addressed to: Comn  | <del></del>               | Washington  | n, D.C. 20231 on the date shown  | below.                  |   |  |
| Typed or printed nam  | e Deidra Pfeil            |   | <del></del>  |                         |   |  |
| Signature   |                           | ¢ 5   | <del>&gt;</del>  | Date                    | October 9, 2002   |  |

Burden Hour Statement. This form is estimated to take Uniform so complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this torm should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO HIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thakur et al.

Serial No.: 09/921,423

Filed: August 2, 2001

**For:** A METHOD TO FORM A CORRUGATED STRUCTURE FOR ENHANCED CAPACITANCE

Examiner: Johannes P. Mondt

**Group Art Unit: 2826** 

**Attorney Docket No.:** 3978.1US

(95-0064.1)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

October 8, 2002 Date of Deposit

Signature of registered peachitioner or other person that my reasonable basis to expect pathing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Deidra Pfeil

Typed/printed name of person whose signature is contained above

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Box NON-FEE Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information

Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined

in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

## **DOCUMENTS**

## U.S. Patent Documents

| U.S. Patent No. | Issue Date | Inventor      |
|-----------------|------------|---------------|
| 5,804,506       | 09/08/1998 | Haller et al. |
| 5,972,769       | 10/26/1999 | Tsu et al.    |
| 6,376,303 B1    | 04/23/2002 | Seo et al.    |

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits. I hereby certify that no item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the statement.

Respectfully submitted,

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Date: October 8, 2002

JRD/jml

Enclosures:

Form PTO-1449 (1 page)

Copy of documents cited